PTO-1390 (Rev. 02-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | RANSMITTAL LETTER TO DESIGNATED/ELECTED INCERNING A SUBMISSIO | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | | | | | |
|---|--|---|--|--|--|--|--|--|--|--|--|--|
| INTER | NATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | | | | |
| | PCT/JP2003/010458 | 19 August 2003 | 28 August 2002 | | | | | | | | | |
| TITLE OF INVENTION LIGHTING APPARATUS AND LCD BACKLIGHT APPARATUS | | | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Masaki SHIMIZU | | | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | | | |
| 1. x | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | | |
| 2. | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | | |
| 3. x | This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | | | |
| 4. x | The US has been elected (Article 31). | | | | | | | | | | | |
| 5. x | A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | | | | | |
| а | is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | |
| b | x has been communicated by the International Bureau. | | | | | | | | | | | |
| C. | is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | | | |
| 6. x | An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). | | | | | | | | | | | |
| а | x is attached hereto. | | | | | | | | | | | |
| b | has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 7. x | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) | | | | | | | | | | | |
| а | are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | | | |
| b. | have been communicated by | the International Bureau. | | | | | | | | | | |
| C. | have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | | | | |
| d. | x have not been made and will | x have not been made and will not be made. | | | | | | | | | | |
| 8. | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | | | | | | | |
| 9. x | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | | | | | |
| 10. x | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | | | | | | | | | | | |
| Items 1 | 11 to 20 below concern docume | | | | | | | | | | | |
| 11. x | An Information Disclosure Staten | nent under 37 CFR 1.97 and 1.98. | 4, | | | | | | | | | |
| 12. x | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | | | |
| 13. x | A preliminary amendment. | | | | | | | | | | | |
| 14. | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | | | |
| 15. | A substitute specification. | | | | | | | | | | | |
| 16. | A power of attorney and/or change of address letter. | | | | | | | | | | | |
| 17. | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | | | | |
| 18. | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | | | |
| 19. | A second copy of the English lan | guage translation of the international a | application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. x Other items or information: PCT/ISA/210; PCT/IPEA/409; PCT/IB/304; PCT/IB/308 & Eighteen (18) Sheets of Formal Drawings | | | | | | | | | | | | |

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| U.S. APPLICATION NO. HINDON DE 37 ERR 15) INTERNATIONAL APPLICATION NO. | | | | | | ATTORNEY'S DOCKET NUMBER | | | | | |
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| PCT/JP2003/010458 | | | | | | 1254-0269PUS1 | | | | | |
| The following fees have been submitted | | | | | | | | CALCULATIONS | PTO USE ONLY | | |
| 21. x Basic national fee\$300 | | | | | | | \$ | 300.00 | | | |
| 22. x Exan | mination fee | | | | | | | | | | |
| | oreliminary examination | | | | | | | | | | |
| provisions of PCT Article 33(1)-(4) \$100 All other situations \$200 | | | | | | | | 200.00 | | | |
| 23. x Sear | | \$ | | | | | | | | | |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the | | | | | | | | | | | |
| USPTO | as an International S arch Report prepared | | | | | | | | | | |
| | earch Report prepared | | | | | | s | 500.00 | | | |
| | TOTAL OF 21 | | | | | | \$ | 1,000.00 | | | |
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| | /50 = | the oath | or dec | laration later tha | n 30 mont | | + | | | | |
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| Applicant of | claims small entity sta | itus. See | 37 CF | R 1.27. Fees abo | | | \$ | | | | |
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| | of \$130.00 for furnishic claimed priority date | \$ | | | | | | | | | |
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| | an appropriate cover | | | | | | \$ | 40.00 | | | |
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| SIGNATURE: Charles Serenstein | | | | | | | | | | | |
| CUSTOMER | NUMBER: 02292 | , | | | NAME | | - | 20.01.01.01.1 | | | |
| February 25, | | | | | | | | | | | |
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